

FINANCIAL MARKETS PRACTITIONER

DURATION

18 Months | 18 Classes (pending additional requirements) | 4 Work Experience Coaching Sessions

ENTRY REQUIREMENTS

NQF level 6 in any financial field

TOTAL NUMBER OF DAYS OUT OF THE OFFICE

18 Days
9h00 - 16h00

Assignments and workplace evidence required.

INTRODUCTION

The purpose of this qualification is to prepare a student to analyse individual securities, industries, and the economy; provide investment advice to clients in respect of securities and other financial instruments; and execute transactions in securities with/on behalf of clients and/or for an exchange member.

OVERVIEW OF THE HIGH-LEVEL PROGRAMME

Module 1: Introduction to Financial Markets

Module 2: Equities Securities

Module 3: Interest Bearing Securities

Module 4: Derivative Securities

Module 5: Alternative Securities

Module 6: Economics

Module 7: The Regulation of the Financial Markets

Module 8: Client Needs Analysis

Module 9: Trading

Module 10: Settlement of Financial Markets Transactions

Module 11: Corporate Actions

Module 12: Investment Vehicles

OUTCOMES

1. Analyse, evaluate and review information on securities and market conditions for investment purposes, and prepare market-related reports and communication.
2. Interpret, evaluate and apply financial markets legislation and regulations in the provision of investment services.
3. Evaluate clients' investment needs and objectives, and provide investment services.

4. Apply the ethics, principles, values and processes as prescribed when trading on behalf of clients.
5. Settle financial market transactions according to accepted practice/s.
6. Manage corporate action events, and calculate their effects on the market and client portfolios.

WORK EVIDENCE

The work experience module provides the student with an opportunity to develop a thorough understanding of the organisation's environment, regulatory responsibilities and direction with strong analytical skills, as well as the ability to apply market practices and regulatory requirements to the organisation. This includes formal responsibilities to colleagues and the business.

Prescribed workplace evidence must be collected and endorsed by the student's mentor/supervisor in the workplace and submitted to NVO for final assessment. Students can register for a professional designation with SAIS (costs apply).

EXTERNAL INTEGRATED SUMMATIVE ASSESSMENT (EISA)

EISA (External Integrated Summative Assessment) exam to be booked directly with SAIS once NVO programme is completed. Exam fee payable directly to SAIS (exam fees to be found on the SAIS website). The Novia One mock examination will be venue based to simulate examination conditions as applied by the Board Examination(EISA). Students will receive a certificate of competence against the qualification directly from QCTO after successfully passing the EISA.

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