

SECURITIES LEVEL THREE

ENTRY REQUIREMENTS:
Online. Accessible anytime and anywhere for one year from date of purchase.

INTRODUCTION

The Chartered Institute for Securities & Investment (CISI) Level 3 Certificate in Securities is an internationally recognised qualification designed to equip students with a comprehensive understanding of the global financial services industry, as well as the dynamic world of securities and investments. This programme provides an essential foundation for those pursuing a career in financial markets, asset management, investment banking, or related sectors.

Participants will gain insight into key areas such as asset classes, market structures, corporate actions, and the processes of clearing and settlement, building the knowledge and analytical skills required to operate confidently within the modern investment environment. Structured to reflect the standards of excellence upheld by the CISI, the Securities Level 3 syllabus is divided into clearly defined elements and learning objectives that progress from foundational knowledge to applied understanding and practical calculation skills.

Students will explore critical concepts in accounting analysis and investment management while developing the ability to interpret financial data and apply quantitative techniques. Whether you are entering the industry or enhancing your professional credentials, this qualification provides a solid stepping stone toward a successful and globally relevant career in financial services.

A HIGH-LEVEL OVERVIEW OF THE PROGRAMME

- The Financial Services Industry
- Asset Classes
- Markets
- Primary Markets
- Secondary Markets
- Corporate Actions
- Clearing and Settlement
- Accounting analysis
- Investment Management

OUTCOMES

1. Develop a comprehensive understanding of global financial markets, instruments, and asset classes.
2. Gain the analytical and calculation skills required to interpret financial data and make informed investment decisions.
3. Demonstrate knowledge of key industry processes, including corporate actions, clearing, settlement, and investment management.

THE TESTING PROCESS

The assessment is a 2-hour examination of 100 multiple choice questions. Students taking the exam by Computer Based Testing may have to answer an additional 10% of questions as trial questions, which are not separately identified and do not contribute to the result. Students will be given proportionately more time to complete the test.