

NATIONAL CERTIFICATE IN BANKING: OPERATIONAL RISK MANAGEMENT NQF5 PROGRAMME **OUTLINE**



Qualification Details: National Certificate in Banking

Credits: 129

Unit Standard: 61589

NQF: Level 5

Learnership Number: 02Q 0200 1500 1205

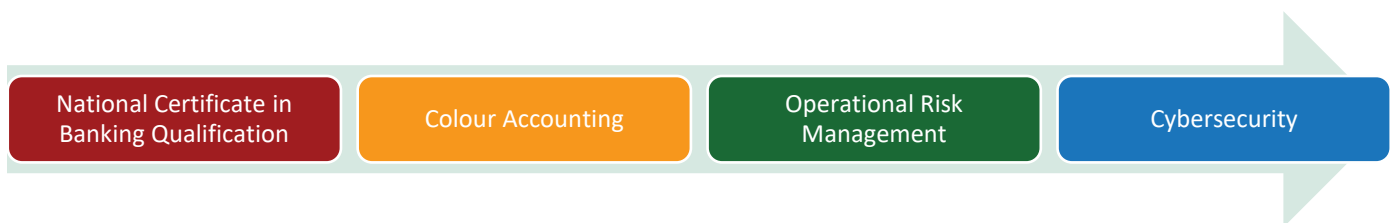
FAIS recognised qualification

Accredited Seta: Bankseta

WHAT IS THE **NATIONAL CERTIFICATE** IN BANKING CONSIDERING **OPERATIONAL RISK MANAGEMENT**?

Novia One has developed the National Certificate in Banking Learnership as a developmental programme for professionals in the banking industry who require an introduction to the market as a whole as well as for those who are seasoned within the industry and would like to expand their knowledge base on the recent developments within the market.

In addition to the National Certificate in Banking, Novia One has included a focus on operational risk management within the banking environment on a practical level with real life case studies to learn from. This will ensure that a practical aspect is brought in the help support and enrich the standard National Certificate in Banking programme.



PROGRAMME OUTLINE

Module 1: Regulations and Market Infrastructure

- **Chapter 1: Key Financial Markets Legislation and Regulations**
 - TCF
 - FAIS
 - CIS
 - BASEL III
 - AML
 - SOLVENCY II
- **Chapter 2: Structure of the financial markets**
 - The relationship between borrowers and savers (Lenders)
 - The mechanisms to facilitate this

Module 2: The Banking Industry and Colour Accounting

- **Chapter 1: Banking Industry and Financial Markets**
 - The banking business model
 - Capital requirements
 - The importance of banking requirements and Basel III
- **Chapter 2: Colour Accounting**
 - Accounting principles in the context of banking and financial markets

Module 3: Investment Products

- **Chapter 1: Banking Industry and Financial Markets**
 - The banking business model
 - Capital requirements
 - The importance of banking requirements and Basel III
- **Chapter 2: Investment Performance**
 - Returns
 - Performance attribution
 - IPS
 - Attitudes of individuals
- **Chapter 3: Investment Industry Documentation**
 - Objectives
 - Classification
 - Collection & recording
 - Management of client documentation

Module 4: Banking Operations

- **Chapter 1: Operational infrastructure**
 - Understanding banking and financial markets operational infrastructure
- **Chapter 2: Custody**
 - Understanding the custody and dematerialisation environment
- **Chapter 3: Clearing and Settlement**
 - Understanding the clearing and settlement of securities
- **Chapter 4: Corporate Actions**
 - What are corporate actions and how do they impact financial markets
- **Chapter 5: Fund Administration**
 - What is the fund administration and the role in portfolio administration and valuations
- **Chapter 6: Fintech**
 - What is Fintech
 - Cashless Banking
 - Robotics
 - Big Data in banking
 - Artificial Intelligence
 - Cognitive Banking

Module 5: Risk Management

- **Chapter 1: Different types of risk**
 - Credit Risk
 - Market Risk
 - Operational Risk
 - Liquidity Risk

- **Chapter 2: Operational Risk**
 - What is operational risk
 - What is operational risk management
 - The Value Proposition in Operational Risk
 - Classification of operational risk events
 - Frequency vs impact
 - Operational Risk as a Discipline comparing the following risks based on Risk Measurement vs Risk Mitigation Tools
 - Credit Risk
 - Market Risk
 - Operational Risk

- **Chapter 3: The tools of Operational Risk Management**
 - Loss capture
 - Assessments
 - Metrics (KRIs)
 - Scenario analysis
 - Capital

- **Chapter 4: Best practices in operational risk management (case study based) and Portfolio of Evidence**
 - Process / Control Analysis
 - Case Studies (Application to Nedbank scenario)
 - Who Cares?
 - Relevant Data
 - End-to-End Process
 - Inefficiencies
 - Weak Points
 - Fixes
 - Integrated Ops Risk Analysis
 - Case Studies (Application to Nedbank Scenario)
 - Risk Drivers
 - Environment
 - Metrics
 - Scenarios and responses
 - Capital Implications
 - Join the dots

Module 6: Cybersecurity

- Online cybersecurity risk management module

PRICING OPTIONS

Please leave your contact details with us via our contact page and we will contact you regarding the various options in terms of next steps.

NEXT STEP

Thank you for taking the time to look at our programme offerings

Novia One Group is a proudly **100% Black Female Owned Level 1 BBBEE** Business and we would love to share the score card optimisation benefits associated with our offerings with you.

Our passion is to tailor a programme that would specifically suite your business needs and objective outcomes

Contact us to discuss the next step:

Tel: 011 783 9390

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TRAINING



CAPITAL



BEE SOLUTIONS



SERVICES