

**FAIS- FINANCIAL MARKETS REGULATIONS
PART QUALIFICATION
PROGRAMME **OUTLINE****



Qualification Details: National Certificate in Banking

Credits: 20

Learnership ID: 12886

NQF: Level 6

Accredited Seta: Bankseta

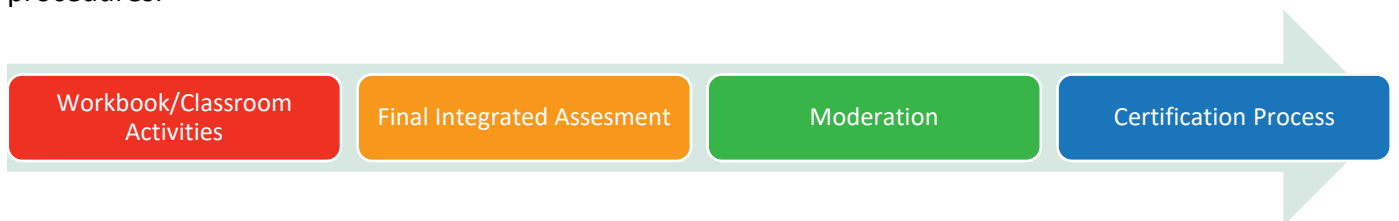
WHAT IS THE **PURPOSE** OF THE FINANCIAL MARKETS REGULATIONS PROGRAMME?

The local and global banking regulatory landscape has become very complex with certain regulatory training programmes that are mandatory. Failure for banks to implement these mandatory regulatory sessions can be end up being fined or publicly sanctioned.

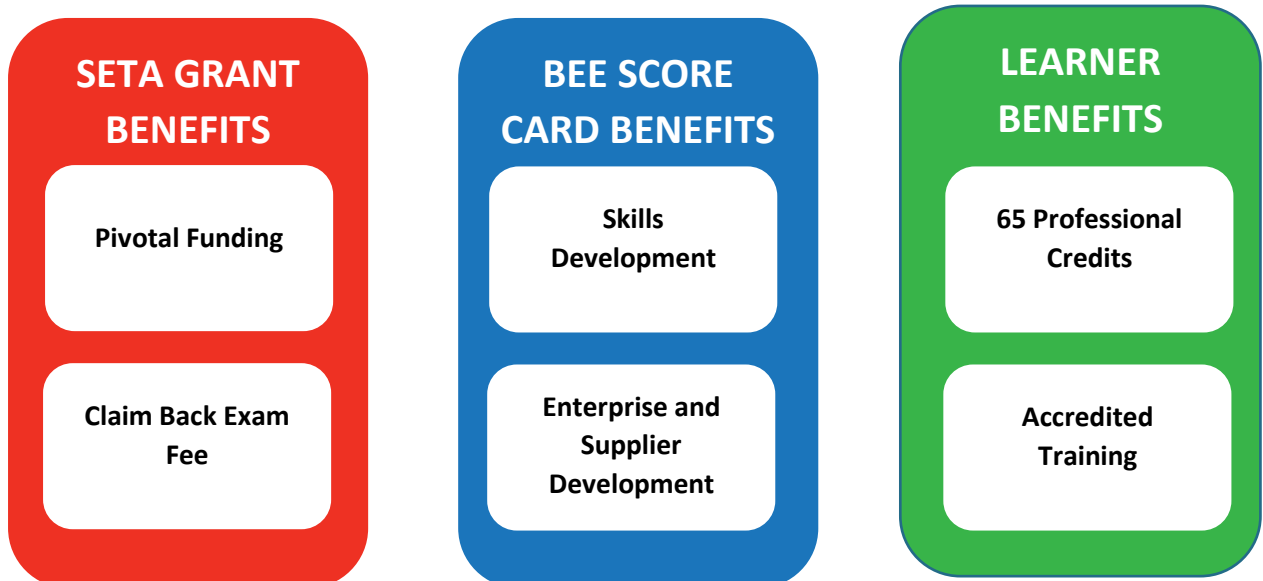
This programme is a building block in preparing learners for the world of work as FAIS representatives. It also covers the full spectrum of local and international regulations. This will also serve as a preparation of learners for the Regulatory Examinations.

By putting this in a recognised skills programme, participants can claim credits for a part qualification. As the unit standards are part of the Certificate in Financial Markets and Instruments programme (SAQA 50481), all learners can also complete the full qualification (with the above skills programme as credits towards the qualification). The full Certificate in Financial Markets and Instruments programme (SAQA 50481), is also FAIS compliant which will again be a big benefit to the bank given the regulatory pressure.

All learners who are involved as representatives at Financial Services Providers, whom need to demonstrate honesty and integrity, competency-based and appropriate qualifications, as well as relevant experience, operational ability and financial soundness. All representatives need to be 'Fit and Proper' by having an adequate qualification, having the necessary experience and have written the required regulatory exams, creating a code of conduct, defining duties in terms of compliance, establishing guidelines for record maintenance and accounting and audit requirements, providing mechanisms for enforcement and complaint procedures.



PROGRAMME **BENEFITS**



PRICING OPTIONS

Please leave your contact details with us via our contact page and we will contact you regarding the various options in terms of next steps.

NEXT STEP

Thank you for taking the time to look at our programme offerings

Novia One Group is a proudly **100% Black Female Owned Level 1 BBBEE** Business and we would love to share the score card optimisation benefits associated with our offerings with you.

Our passion is to tailor a programme that would specifically suite your business needs and objective outcomes

Contact us to discuss the next step:

Tel: 011 783 9390

Email: marketing@noviaone.com

